

CSC212

# Data Structure



COMPUTER SCIENCE  
CITY COLLEGE OF NEW YORK

## Lecture 12

# Reasoning about Recursion

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# Outline of This Lecture

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- ❑ Recursive Thinking: General Form
  - ❑ recursive calls and stopping cases
- ❑ Infinite Recursion
  - ❑ runs forever
- ❑ One Level Recursion
  - ❑ guarantees to have no infinite recursion
- ❑ How to Ensure No Infinite Recursion
  - ❑ if a function has multi level recursion
- ❑ Inductive Reasoning about Correctness
  - ❑ using mathematical induction principle

# Recursive Thinking: General Form

## ❑ Recursive Calls

- ❑ Suppose a problem has one or more cases in which some of the subtasks are simpler versions of the original problem. These subtasks can be solved by recursive calls

## ❑ Stopping Cases /Base Cases

- ❑ A function that makes recursive calls must have one or more cases in which the entire computation is fulfilled without recursion. These cases are called stopping cases or base cases

# Infinite Recursion

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- ❑ In all our examples, the series of recursive calls eventually reached a *stopping case*, i.e. a call that did not involve further recursion
- ❑ If every recursive call produce another recursive call, then the recursion is an *infinite recursion* that will, in theory, run forever.
- ❑ Can you write one?

# Example: $\text{power}(x,n) = x^n$

## □ Rules:

□  $\text{power}(3.0,2) = 3.0^2 = 9.0$

□  $\text{power}(4.0, 3) = 4.0^3 = 64.0$

□  $\text{power}(x, 0) = x^0 = 1$  if  $x \neq 0$

□  $x^{-n} = 1/x^n$  where  $x \neq 0$ ,  $n > 0$

□  $\text{power}(3.0, -2) = 3.0^{-2} = 1/3.0^2 = 1/9$

□  $0^n$

□  $= 0$  if  $n > 0$

□ invalid if  $n \leq 0$  (and  $x == 0$ )

# ipower(x, n): Infinite Recursion

Computes powers of the form  $x^n$

```
double ipower(double x, int n)
// Library facilities used: cassert
{
  if (x == 0)
    assert(n > 0); //precondition


  if (n >= 0)
  {
    return ipower(x,n); // postcondition 1
  }
  else
  {
    return 1/ipower(x, -n); // postcondition 2
  }
}
```

# ipower(x, n): Infinite Recursion

Computes powers of the form  $x^n$

```
double ipower(double x, int n)
// Library facilities used: cassert
{
  if (x == 0)
    assert(n > 0); //precondition

  if (n >= 0)
  {
    return ipower(x,n); // need to be developed into a stopping case
  }
  else
  {
    return 1/ipower(x, -n); // recursive call
  }
}
```



```
double product = 1;
for (int i = 1; i <= n; ++i)
  product *= x;
return product;
```

# power(x, n): One Level Recursion

Computes powers of the form  $x^n$

```
double power(double x, int n)
// Library facilities used: cassert
{
    double product; // The product of x with itself n times
    int count;
    if (x == 0) assert(n > 0);
    if (n >= 0) // stopping case
    {
        product = 1;
        for (count = 1; count <= n; count++)
            product = product * x;
        return product;
    }
    else // recursive call
        return 1/power(x, -n);
}
```



# One Level Recursion

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- ❑ First general technique for reasoning about recursion:
  - ❑ Suppose that every case is either a stopping case or it makes a recursive call that is a stopping case. Then the deepest recursive call is only one level deep, and no infinite recursion occurs.

# Multi-Level Recursion

- ❑ In general recursive calls don't stop at just one level deep – a recursive call does not need to reach a stopping case immediately.
- ❑ In the last lecture, we have shown two examples with multiple level recursions
- ❑ As an example to show that there is no infinite recursion, we are going to re-write the power function – use a new function name `pow`

# power(x, n) $\Rightarrow$ pow(x,n)

## Computes powers of the form $x^n$

```
double power(double x, int n)
// Library facilities used: cassert
{
    double product; // The product of x with itself n times
    int count;
    if (x == 0) assert(n > 0);
    if (n >= 0) // stopping case
    {
        product = 1;
        for (count = 1; count <= n; count++)
            product = product * x;
        return product;
    }
    else // recursive call
        return 1/power(x, -n);
}
```

change this into a recursive call based on the observation

$x^n = x \cdot x^{n-1}$  if  $n > 0$

# pow(x, n): Alternate Implementation

Computes powers of the form  $x^n$

```
double pow(double x, int n)
// Library facilities used: cassert
{
    if (x == 0)
    { // x is zero, and n should be positive
        assert(n > 0);
        return 0;
    }
    else if (n == 0)
        return 1;
    else if (n > 0)
        return x * pow(x, n-1);
    else // x is nonzero, and n is negative
        return 1/pow(x, -n);
}
```

All of the cases:

x	n	$x^n$
=0	<0	undefined
=0	=0	undefined
=0	>0	0
!=0	<0	$1/x^{-n}$
!=0	=0	1
!=0	>0	$x * x^{n-1}$

# How to ensure NO Infinite Recursion

- ❑ when the recursive calls go beyond one level deep
- ❑ You can ensure that a stopping case is eventually reached by defining a numeric quantity called **variant expression** - without really tracing through the execution
- ❑ This quantity must associate each legal recursive call to a single number, which changes for each call and eventually satisfies the condition to go to the stopping case

# Variant Expression for pow

- ❑ The variant expression is  $\text{abs}(n)+1$  when  $n$  is negative and
- ❑ the variant expression is  $n$  when  $n$  is positive
- ❑ A sequence of recursion call
  - ❑  $\text{pow}(2.0, -3)$  has a variant expression  $\text{abs}(n)+1$ , which is 4; it makes a recursive call of  $\text{pow}(2.0, 3)$

# Variant Expression for pow

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- ❑ the variant expression is  $n$  when  $n$  is positive
- ❑ A sequence of recursion call
  - ❑  $\text{pow}(2.0, 3)$  has a variant expression  $n$ , which is 3; it makes a recursive call of  $\text{pow}(2.0, 2)$

# Variant Expression for pow

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- ❑ the variant expression is  $n$  when  $n$  is positive
- ❑ A sequence of recursion call
  - ❑  $\text{pow}(2.0, 2)$  has a variant expression  $n$ , which is 2; it makes a recursive call of  $\text{pow}(2.0, 1)$



# Variant Expression for pow

- ❑ The variant expression is  $\text{abs}(n)+1$  when  $n$  is negative and
- ❑ the variant expression is  $n$  when  $n$  is positive
- ❑ A sequence of recursion call
  - ❑  $\text{pow}(2.0, 1)$  has a variant expression  $n$ , which is 1; it makes a recursive call of  $\text{pow}(2.0, 0)$

# Variant Expression for pow

- ❑ The variant expression is  $\text{abs}(n)+1$  when  $n$  is negative and
- ❑ the variant expression is  $n$  when  $n$  is positive
- ❑ A sequence of recursion call
  - ❑  $\text{pow}(2.0, 0)$  has a variant expression  $n$ , which is  $0$ ; this is the stopping case.

# Ensuring NO Infinite Recursion

- ❑ It is enough to find a variant expression and a threshold with the following properties (p446):
  - ❑ Between one call of the function and any succeeding recursive call of that function, the value of the variant expression decreases by at least some fixed amount.
    - ❑ What is that fixed amount of  $\text{pow}(x,n)$ ?
  - ❑ If the function is called and the value of the variant expression is less than or equal to the threshold, then the function terminates without making any recursive call
    - ❑ What is the threshold of  $\text{pow}(x,n)$
- ❑ Is this general enough?

# Reasoning about the Correctness

- ❑ First show **NO** infinite recursion **then** show the following two conditions are also valid:
  - ❑ Whenever the function makes no recursive calls, show that it meets its pre/post-condition contract (**BASE STEP**)
  - ❑ Whenever the function is called, by assuming all the recursive calls it makes meet their pre-post condition contracts, show that the original call will also meet its pre/post contract (**INDUCTION STEP**)

# Summary of Reason about Recursion

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- ❑ First check the function always terminates (not infinite recursion)
- ❑ next make sure that the stopping cases work correctly
- ❑ finally, for each recursive case, pretending that you know the recursive calls will work correctly, use this to show that the recursive case works correctly

# Reading, Exercises and Assignment

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- ❑ Reading
  - ❑ Section 9.3
- ❑ Self-Test Exercises
  - ❑ 13-17
- ❑ Assignment online
  - ❑ four recursive functions
- ❑ **Exam**
  - ❑ Come to class for reviews and discussions